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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/804,053	03/19/2004	Mitsuhiro Ichijo	740756-2718	7738

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EXAMINER


PHAM, LONG

ART UNIT PAPER NUMBER

2814

DATE MAILED: 09/16/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/804,053	Applicant(s) ICHIJO ET AL.	
	Examiner Long Pham	Art Unit 2814	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-62 is/are pending in the application.  
     4a) Of the above claim(s) 16-33 and 47-62 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 7-15 and 34-46 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
     a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>03/19/04</u> . | 6) <input type="checkbox"/> Other: ____.  |

**DETAILED ACTION**

***Election/Restrictions***

1. Applicant's election with traverse of claims 7-15, 39-46, and 34-38 (these claims should have been included with this species) in the reply filed on 07/27/05 is acknowledged. The traversal is on the ground(s) that see the election of 07/27/05. This is not found persuasive because the defined species are patentably distinct that is the unpatentability of one species does not imply unpatentability of other species.

The requirement is still deemed proper and is therefore made FINAL.

***Claim Objections***

2. Claims 10 and 14 are objected to because of the following informalities: "the second film" should be "the silicon nitride film". Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 7-15, 34-38, 39-46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yamazaki et al. (US 6,781,162) in combination with Kihira et al. (US patent 6,631,022).

With respect to claims 7, 8, 9, 13, 34, 39, 40, 41, 42, Yamazaki et al. teach a film formation method comprising the steps of (see col. 26, lines 1-30 and associated figures):

forming a first film (target silicon nitride) in a chamber 113;  
installing a substrate into the chamber after forming the first film; and  
forming a silicon nitride protective film is formed over a surface of the  
substrate by using the first film and a second gas of argon.

Yamazaki et al. teach forming the first film or target silicon nitride but fail to  
teach that the formation is done using monosilane or disilane gas and  
nitrogen.

Kihira et al. teach forming a silicon nitride using monosilane or disilane gas  
and nitrogen. See col. 19, lines 20-25.

It would have been obvious to one of ordinary skill in the art of making  
semiconductor devices to form the silicon nitride as taught by Kihira et al. to obtain  
a stable silicon nitride at a low temperature. See col. 19, lines 20-25.

Further with respect to claims 34 and 39, Yamazaki et al. further teach  
formign a thin film transistor over a substrate, wherein the thin film transistor  
comprises of an active region and a gate electrode with a gate insulating film  
interposed therebetween. See fig. 1A.

With respect to claims 38 and 46, Yamazaki et al. further teach forming an  
EL layer 201 and an electrode 200 or 202 over the silicon nitride film 204. See fig.  
4A.

With respect to claims 11, 36, and 44, Yamazaki et al. fail to teach the  
substrate is made of glass or plastic material.

However, the formation of semiconductor devices on glass or plastic  
substrate is well-known.

With respect to claims 12, 37, and 45, Yamazaki et al. teach forming the  
silicon nitride protective film by sputtering but fail to teach that the target silicon  
nitride film is formed by plasma CVD.

However, the formation of silicon nitride by plasma CVD is well-known.

With respect to claims 10, 35, and 43, Yamazaki et al. fail to teach the range for the formation pressure of forming the silicon nitride.

However, it would have been obvious to one of ordinary skill in the art of making semiconductor devices to determine the workable or optimal value or range for formation pressure through routine experimentation and optimization to obtain optimal or desired device performance because it has been held that it is not inventive to discover the optimum or workable ranges of a result-effective variable within given prior art conditions by routine experimentation. See MPEP 2144.05.

With respect to claim 14, Yamazaki et al. further teach forming a semiconductor device using the silicon nitride film as a protective film of a semiconductor element. See cols. 25 and 26 and associated figures.

With respect to claim 15, Yamazaki et al. further teach that the semiconductor element is a thin film transistor. See fig. 1A and associated text.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Long Pham whose telephone number is 571-272-1714. The examiner can normally be reached on M-F, 7:30AM-3:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on 571-272-1705. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2814

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Long Pham  
Primary Examiner  
Art Unit 2814

LP